

28. April 2025 // NR 42/25

GAZETTE

Official newsletter of the corporation and the foundation

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- New version of the guidelines for cumulative dissertations at the School of Management and Technology for the doctoral degree Dr. rer. pol. in the field of Information Systems

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Based on Art. 9 para. 3 sentence 1 of the Lower Saxony Higher Education Act (NHG) in the version of February 26, 2007 (Nds. GVBl. p. 69), last amended by Art. 7 of the Act of March 23, 2022 (Nds. GVBl. No. 218) and Art. 8 para. 3 Doctoral Degree Regulations of the School of Management and Technology of June 14, 2023 (Leuphana Gazette No. 71/23 of July 20, 2023), the School Council of the School of Management and Technology of Leuphana University of Lüneburg adopted the guidelines for cumulative dissertations on November 8, 2023. The Presidential Board of Leuphana University of Lüneburg approved this new version in accordance with Art. 44 para. 1 sentence 3 NHG February 26, 2025.

SECTION I

This guideline specifies the requirements for a cumulative dissertation to obtain the Dr. rer. pol. at the School of Management and Technology in the field of Information Systems. The guideline is a supplement to the doctoral degree regulations.

Art. 1 Quality requirements and number of articles or manuscripts

- (1) ¹The overall quality requirements for the contributions correspond to those to be applied to a dissertation in the form of a monograph. ²The responsibility for compliance with the quality requirements lies with the reviewers. They decide (independently of the peer reviews) on the recommendation for acceptance of the articles as a cumulative dissertation and their evaluation.
- (2) ¹A minimum of three articles must be submitted. ²Dissertations usually comprise more than three articles.
- (3) ¹The content and embedding of the articles must be presented in writing in a summarizing essay (framework paper). ²The framework paper provides an introduction to the topic of the dissertation and gives an overview of the relevant preliminary work / the state of research, the research design and the methods used. ³In addition, details of the research work and analysis that contribute to comprehensibility and replicability and could not be included in the scientific articles due to space restrictions in journals and proceedings can be dealt with. ⁴Consistent terminology and notation must be ensured. ⁵If the articles contain inconsistencies in this respect, these must be identified and resolved. ⁶The framework paper concludes with a presentation of the contributions and limitations of the specialist articles and an outlook on future research in the context of the dissertation.

Art. 2 Authorship

- (1) At least three independent articles must be submitted for which the doctoral candidate is the first author.
- (2) ¹Co-authorships are possible and desired. ²The nature and scope of the doctoral candidate's contribution to the research work and the preparation of the article must be clearly stated. ³In the case of co-authorships, all co-authors must submit a joint confirmation of their contribution to the article, taking into account qualitative and quantitative contributions. ⁴An article in co-authorships is included in the total according to paragraph 4

with the relative share confirmed by all co-authors. ⁵In general, and particularly in the case of joint co-authorship, the reviewers shall only assess the proportion of the research work and the resulting articles attributable to the doctoral candidate.

- (3) At least one of the reviewers may not also be a co-author of the submitted articles.
- (4) The sum of the publications weighted according to paragraph 2 must be at least 2.

Art. 3 Publication status and value of journals

- (1) At least two of the articles should have been published in high-ranking journals or in proceedings of renowned conferences with strict peer review, have been accepted for publication (“accept”) or have been accepted with conditions in the review process (“conditional accept”).
- (2) ¹Irrespective of whether the articles have already been published or accepted for publication, the reviewers are obliged to independently assess whether a level of quality appropriate to a dissertation has been achieved.
²The reviewers apply the usual strict scientific assessment criteria.

Art. 4 Transitional Provision

Doctoral procedures that have already been opened by the time the doctoral regulations of the School of Management and Technology of June 14, 2023 (Leuphana Gazette No. 71/23 of July 20, 2023) come into force on October 1, 2023 can be terminated upon application in accordance with the provisions of the previously valid doctoral regulations, including the guidelines issued by the School of Management and Technology.

SECTION II

This guideline enters into force on the day after its publication in the Official Gazette of Leuphana University of Lüneburg (Leuphana Gazette).

Leuphana Gazette is the successor publication to Uni INTERN

Publisher: The President of Leuphana Universität Lüneburg, Universitätsallee 1, 21335 Lüneburg

Editing, typesetting and distribution: Press Office

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